

April 17, 2017

To,

**BSE Limited**  
Listing Department  
P.J. Towers, 1<sup>st</sup> Floor,  
Dalal Street, Fort,  
Mumbai – 400 001

**Ref: Scrip Name: Avanse Financial Services Limited**  
**Scrip Code: 169779**

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosures Requirement) Regulations 2015, for the Half Year ended March 31, 2017**

Dear Sir,

With reference to captioned subject, please find enclosed a Compliance Certificate as per Regulation 7(3) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015, for the half year ended March 31, 2017.

Please note, Company changed its Registrar & Transfer Agent (RTA) from System Support Services to Link Intime India Pvt. Ltd with effect from December 16, 2016. Accordingly, Compliance Certificate for the aforesaid period is in two parts;

- a) For the period October 01, 2016 to December 15, 2016 given by former RTA - System Support Services.
- b) For the period December 16, 2016 to March 31, 2017 given by existing RTA - Link Intime India Pvt. Ltd.

Kindly take the same in your records.

Thanking you,

Yours Truly,  
**For Avanse Financial Services Limited**

  
**Rakesh Dhanuka**  
Company Secretary



April 17, 2017

To,  
BSE Limited  
Listing Department  
F. J. Towers, 1<sup>st</sup> Floor,  
Dalal Street, Fort,  
Mumbai – 400 001

Ref: Script Name: Avanse Financial Services Limited

Script Code: 169779

Unit: Avanse Financial Services Limited

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosures Requirement) Regulations 2015, for the period October 01, 2016 to December 15, 2016

Dear Sir,

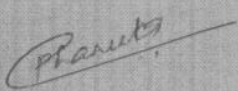
This is to certify that the activities in relation to both Physical and Electronic E-benture Transfer facility were maintained at by M/s System Support Services, Mumbai (Category I- Registrar, registered with SEBI), the Registrar and Transfer Agents of Avanse Financial Services Limited

Thanking You,

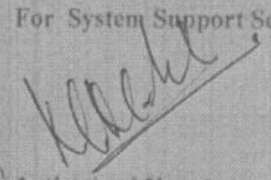
Yours truly

For Avanse Financial Services  
Limited

For System Support Services Limited



Rakesh Dhanuka  
Company Secretary



Authorised Signatory



Avanse Financial Services Ltd  
Registered & Corporate Office:  
Gr. Floor, Akashrao Building,  
Bandra Kurla Complex, Near Family Court,  
Bandra, Mumbai – 400051

T: +91 22 7111 2233  
F: +91 22 7111 2234  
www.avanse.com

A DHFL Group Company

ASPIRE WITHOUT BOUNDARIES

CIN: U65120MH1992PLC048060 - Formerly known as Avanse Financial Services Pvt. Ltd. & Abheeruddhi Holdings Private Limited

Date: April 17, 2017

To,  
The Manager  
Listing Department  
Debt Market  
BSE Limited  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai- 400001.

Scrip Code of Debt: 169779





Dear Sirs / Madam,

**Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

As per the requirement of Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to electronic debenture transfer facility are maintained during the period December 16, 2016 to March 31, 2017 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category - I with the Securities and Exchange Board of India vide Registration Number : INR000004058 Date : 15 / 07 / 2014 )

Thanking you,

Yours truly,

For Avanse Financial Services Limited	For Link Intime India Private Limited
 Name : Rakesh Dhanuka Designation : Company Secretary	 Mr. Ganesh Jadhav Associate Vice President - Depository Operations
	

Avanse Financial Services Ltd  
Registered & Corporate Office:  
Gr. Floor, Madhava Building,  
Banara Kuria Complex, Near Family Court,  
Bandra(E), Mumbai - 400051

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